

**UTILITY PATENT APPLICATION TRANSMITTAL LETTER**

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
MA-502-US**To the Assistant Commissioner for Patents:**

Transmitted herewith for filing is the patent application of:

Toshiaki KAN-O

corresponding to Japanese application No.2000-314199, filed  
13 October 2000,

entitled: DISK DRIVE UNIT AND INFORMATION PROCESSING DEVICE

Enclosed are:

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | 19 pages of specification.  |
| <input checked="" type="checkbox"/> | 8 sheets of formal drawings.  |
| <input checked="" type="checkbox"/> | a newly-executed declaration of the inventor.   |
| <input type="checkbox"/>            | a copy of an executed declaration of the inventor from prior application<br>Serial No. , filed .  |
| <input type="checkbox"/>            | incorporation by reference. The entire disclosure of the prior application,<br>from which a copy of the oath or declaration is supplied as indicated in the<br>preceding box, is considered as being part of the disclosure of the accom-<br>panying application and is hereby incorporated by reference therein. |
| <input checked="" type="checkbox"/> | an assignment of the invention to NEC Corporation, including assignment cover sheet.  |
| <input type="checkbox"/>            | Information Disclosure Statement with Form PTO-1449.  |
| <input type="checkbox"/>            | copies of the Information Disclosure Statement citations.   |
| <input type="checkbox"/>            | preliminary amendment.  |
| <input checked="" type="checkbox"/> | return receipt postcard (MPEP 503), specifically itemized.  |
| <input type="checkbox"/>            | applicant claims small entity status under 37 CFR 1.27.   |
| <input checked="" type="checkbox"/> | a certified copy of the Japanese Priority Document.   |
| <input checked="" type="checkbox"/> | other: Abstract of the Disclosure, Application Data Sheet .   |

If a CONTINUING APPLICATION, check appropriate box and supply the requisite informa-  
tion.☐ Continuation    ☐ Divisional    ☐ Continuation-in-part (CIP)

of prior application No. , filed .

- |                                     |   |
|-------------------------------------|---|
| <input checked="" type="checkbox"/> | Customer No. 000466.  |
| <input checked="" type="checkbox"/> | Correspondence address is: YOUNG & THOMPSON, 745 South 23rd Street,<br>Second Floor, Arlington, Virginia 22202. |
| <input checked="" type="checkbox"/> | Telephone: (703) 521-2297. Telefax: (703) 685-0573 or (703) 979-4709.   |

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(continued)

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**CLAIMS AS FILED**

	NO. FILED	NO. EXTRA	RATE	FEE
BASIC FEE			\$ 740	\$ 740
TOTAL CLAIMS	23 - 20 =	3	x\$ 18	54
INDEPENDENT CLAIMS	5 - 3 =	2	x\$ 84	168
MULTIPLE DEPENDENT CLAIM PRESENT			\$ 280	
<b>TOTAL</b>				\$962
If applicant claims small entity status under 37 CFR 1.27, then divide total fee by 2, and enter amount here.				<b>SMALL ENTITY TOTAL</b> \$

<input checked="" type="checkbox"/>	A check in the amount of \$1002 to cover the filing fee is enclosed.
<input checked="" type="checkbox"/>	The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to Deposit Account No. 25-0120 in the name of Young & Thompson, as described below. A duplicate copy of this sheet is enclosed.
<input type="checkbox"/>	Charge the amount of \$ as filing fee.
<input checked="" type="checkbox"/>	Credit any overpayment.
<input checked="" type="checkbox"/>	Charge any additional fee required under 37 CFR 1.16 and 1.17, during the pendency of this application.
<input type="checkbox"/>	Charge the issue fee set in 37 CFR 1.18 at the mailing of the Notice of Allowance.

*Benoît Castel by*  
*Wm. Wm.*

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October 10, 2001